



DoD SIOO

DoDD 5148.13
“Intelligence Oversight”



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- Establishes **policies**, assigns **responsibilities**, and provides **procedures** for employee conduct and identifying, investigating, and reporting QIAs and S/HSMs
- Prescribes the intelligence oversight **responsibilities and functions, relationships, and authorities** of the DoD Senior Intelligence Oversight Official
- Prescribes the **responsibilities and relationships** of the USD(I), the GC DoD, and the DoD Component heads regarding intelligence oversight



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Questionable Intelligence Activity:

Any intelligence or intelligence-related activity when there is reason to believe such activity may be unlawful or contrary to an E.O., Presidential directive, Intelligence Community Directive, or applicable DoD policy governing that activity.



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Significant or Highly Sensitive Matter (S/HSM):

An intelligence or intelligence-related activity (regardless of whether the intelligence or intelligence-related activity is unlawful or contrary to an E.O., Presidential directive, Intelligence Community Directive, or DoD policy), or serious criminal activity by intelligence personnel, that could impugn the reputation or integrity of the Intelligence Community, or otherwise call into question the propriety of intelligence activities. Such matters might involve actual or potential:

- **Congressional inquiries or investigations.**
- **Adverse media coverage.**
- **Impact on foreign relations or foreign partners.**
- **Systemic compromise, loss, or unauthorized disclosure of protected information.**



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DoD SIOO Responsibilities:

- **Conduct Inspections**
- **Develop Policy (DoDM 5240.01, DoDD 5148.13)**
- **Receive, Review, Assess QIA and S/HSM Reports**
- **Monitor, Review, Conduct Administrative Investigations**



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DoD Component Responsibilities:

- **Conduct Inspections**
- **Develop Implementing Guidance**
- **Report and Investigate QIAs and S/HSMs**
- **Appoint an Intelligence Oversight Officer**
 - **Appropriate grade & experience**
 - **Access to intelligence activities**
 - **Access to Component head**



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Identifying QIAs and S/HSMs:

- **Employees must recognize and report QIAs and S/HSMs**
 - **Build requirement into PWS/SOW for contractors**
- **DoD Components must have a process to process reports of QIAs and S/HSMs through the chain of command to DoD SIOO**
- **DoD Component IGs conduct inspections to assess compliance**
 - **Statutory vs. Non-Statutory**



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Investigating QIAs and S/HSMs:

- **All QIAs and S/HSMs referred to Component IG and GC for review (refer potential crimes to DCIO or MDCO)**
- **Every QIA and S/HSM requires a written investigation report**
 - **If substantiated: Findings, Cause, Remedial Action**
- **DoD SIOO and GC DoD review DoD Component investigations to assess effectiveness in identifying cause and remedial actions**



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Reporting QIAs and S/HSMs:

- **S/HSMs – Immediately**
- **QIAs – Quarterly**
- **Trend Analysis**





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Required Training:

Initial and annual IO training tailored to mission required for all employees

Familiarity with authority and restrictions in DoDD 5240.01 and DoDM 5240.01; responsibilities for reporting QIAs and S/HSMs